



Brussels, **XXX**
[...](2021) **XXX** draft

ANNEXES 1 to 2

ANNEXES

to the

Commission Implementing Regulation (EU) .../... of XXX

on implementing Regulation (EU) 2018/858 of the European Parliament and of the Council with regard to the functional requirements necessary for the carrying out of market surveillance activities

ANNEX I

Format of the comprehensive overview of planned market surveillance checks

(to be completed by the Member State and submitted to the Forum no later than 1 March)

Member State: ...

Name of market surveillance authority: ...

Person of contact within the authority (name, e-mail and telephone number): ...

Period covered: DD.MM.YYYY – DD.MM.YYYY

| State ¹ : | Make ² : | Commercial name ³ : | Category of vehicle ⁴ or system, component and separate technical unit: | Regulatory act(s) ⁵ : | Subject(s) ⁶ : | Documentary checks/laboratory tests/on-road tests: | Planned starting period: | Remarks: |
|----------------------|---------------------|--------------------------------|--|----------------------------------|---------------------------|--|--------------------------|----------|
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¹ Country code based on the International Naming Convention.

² Trade name of the manufacturer set out in point 0.1. of the EU whole vehicle type-approval certificate.

³ The commercial name set out in point 0.2.1. of the EU whole vehicle type-approval certificate.

⁴ Category of vehicle (e.g. M1, N1).

⁵ Applicable regulatory acts listed in Annex II to Regulation (EU) 2018/858.

⁶ Subject of the applicable regulatory acts listed in Annex II to Regulation (EU) 2018/858.

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ANNEX II

Format of the report of the findings on compliance verification checks

(to be completed by the Member State and submitted to the Forum by 30 September of the year following the end of the two-year period concerned)

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| 1. Information: |
| Member State: ... Name of market surveillance authority: ... Period covered: DD.MM.YYYY – DD.MM.YYYY |
| 2. General: (market surveillance organisation and infrastructure) |
| - Responsible ministry for this sector; - Name and contact details of the competent Market Surveillance Authority (their address; including their electronic address (e-mail), and their areas of responsibility); - Number of automotive market surveillance staff; - Test resources (own or external laboratory); - Clarification how is ensured the market surveillance authorities carry out their duties independently and impartially. - ... |
| 3. Member States explanation of their established risk assessment principles: |
| - a brief explanation of the risk assessment principles established; - an overview of the criteria used for the risk assessment; - explanation on effective coordination of activities between national authorities; - ... |
| 4. Overview activities carried out during the previous planning period: |
| - Total number of carried out market surveillance checks per year; - Number of identified non-compliances per year and per type of vehicle; - Specific information with regards to the identified non-compliances per year (applicable legislation/subject) and identified trends in non-compliances; - Number of open corrective and restrictive measures with regards to identified non-compliances per year; - Number and amount of money of penalties imposed by the Member States per year and per legislation; - ... |
| 5. Findings/analyses: |
| - Strengths & Weaknesses (internal – micro level) of Market Surveillance Authority; - Opportunities & Threats (external – meso and macro level) based on findings and non-compliances through market surveillance checks; - Lessons learned (internal - micro level); - Lessons learned (external – meso and macro level); |
| 6. Measures and actions: |
| - Internal (micro level) measures and actions planned for the next 2 years; - External (meso and macro level) measures and actions planned for the next 2 years; - ... |
| 7. Remarks: |
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